SAN JOSE STATE UNIVERSITY ACADEMIC SENATE

2015/2016

Agenda

May 9, 2016, 2:00 pm – 4:00 pm Engineering 285/287

- I. Call to Order and Roll Call -
- II. Approval of Minutes –
 Senate Minutes of April 25, 2016
- III. Communications and Questions
 - A. From the Chair of the Senate
 - B. From the President of the University
- IV. Executive Committee Report
 - A. Minutes of the Executive Committee Exec. Minutes of April 18, 2016
 - B. Consent Calendar -
 - C. Executive Committee Action Items -

AS 1614, Sense of the Senate Resolution, Supporting Efforts to Reduce Student Costs for Textbooks by Encouraging the Use of High-Quality Open Educational Resource Course Material (Final Reading)

AS 1623, Sense of the Senate Resolution, Honoring and Thanking President Susan Martin for Her Service to San José State University (Final Reading)

- V. Unfinished Business -
- VI. Policy Committee and University Library Board Action Items (In rotation):
 - A. University Library Board (ULB):
 - B. Curriculum and Research Committee (C&R):

 AS 1622, Policy Recommendation: Academic Certificate

 Programs: Review and Approval Process (Final Reading)
 - C. Instruction and Student Affairs Committee (I&SA):

 AS 1620, Policy Recommendation, Probation and Disqualification
 (Final Reading)
 - D. Professional Standards Committee (PS):

AS 1619, Policy Recommendation, Adopting New SOTE and SOLATE Instruments (Final Reading)

AS 1617, Sense of the Senate Resolution, Calling for Widespread Consultation Prior to Finalizing any Standards and/or Implementation Strategies Pertaining to Electronic Communications (Final Reading)

- E. Organization and Government Committee (O&G):
- VII. Special Committee Reports:
- VIII. New Business:
- IX. State of the University Announcements:
 - A. Statewide Academic Senators
 - B. Provost
 - C. Vice President for Administration and Finance
 - D. Vice President for Student Affairs
 - E. Associated Students President
 - F. Vice President for University Advancement
- X. Adjournment:

Consent Calendar 2015-2016				
5/9/2016 Senate Meeting				
Policy Committees				
COMMITTEE	NAME	UNIT	TERM	NOTES
Committee on Committees	Ana Pitchon	Social Sciences	2017	
Operating Committees				
COMMITTEE	NAME	UNIT	TERM	
Student Success	Ranko Heindl	Science	2017	
Other Committees				
COMMITTEE	NAME	UNIT	TERM	
Accreditation Review	Ravistha Mathur	Faculty-at-Large	2019	
Accreditation Review	Meekyung Han	Faculty-at-Large	2019	
Accreditation Review	Annette Nellen	Faculty-at-Large	2018	
Accreditation Review	Cheyla Samuelson	Faculty-at-Large	2018	
Accreditation Review	John Murray	Faculty-at-Large	2017	
Alcohol and Drug Abuse Prevention	Erin Woodhead	Faculty-at-Large	2019	
Campus Planning Board	Shahin Gerami	Social Sciences	2018	
Remove:				
COMMITTEE	NAME	UNIT	TERM	

2 p.m. - 5 p.m.

2015/2016 Academic Senate

MINUTES April 25, 2016

I. The meeting was called to order at 2:05 p.m. and roll call was taken by the Senate Administrator. Forty-Two Senators were present.

Ex Officio:

Present: Kimbarow, Amante, Van Selst, Lee,

Heiden, Sabalius

Administrative Representatives:

Present: Martin, Feinstein Absent: Larochelle, Lanning, Blaylock

Deans:

Present: Green, Jacobs, Stacks, Hsu

Students:

Present: Abukhdeir, Medrano, Romero, Gay

Sandoval-Rios Absent: Sarris, Sandoval-Rios

Alumni Representative:

Present: Walters

Emeritus Representative:

Present: Buzanski

General Unit Representatives: Present: Matoush, Kauppila

Absent: Medina

CASA Representatives:

Present: Lee, Shifflett, Sen, Grosvenor, Schultz-Krohn

COB Representatives:

Present: Virick, Sibley, Campsey

EDUC Representatives:

Present: Mathur, Laker

ENGR Representatives:

Present: Hamedi-Hagh, Sullivan-Green, Backer

H&A Representatives:

Present: Frazier, Khan, Grindstaff

Absent: Bacich, Riley

SCI Representatives:

Present: Kaufman, Beyersdorf, White

Absent: Clements

SOS Representatives:

Present: Peter, Curry, Wilson

Absent: Coopman

II. **Approval of Academic Senate Minutes**-

The minutes of April 4, 2016 were approved as amended (40-0-2).

III. **Communications and Ouestions –**

A. From the Chair of the Senate:

Chair Kimbarow told the Senate that the Vice Presidents were meeting with a candidate for the Vice President of Administration and Finance position and would be arriving at 3 p.m. and will give their reports at the end of the meeting.

Chair Kimbarow reminded Senators of the reception at the President's house on Sunday, May 1, 2016. This is the first Spring reception for the Senate at the President's house.

The agenda is extremely full today, please keep your comments to a minimum.

B. From the President of the University –

Interim President Martin is working closely with incoming President Papazian.

IV. Executive Committee Report –

A. Executive Committee Minutes –

Executive Committee Minutes of March 21, 2016 – No questions. **Executive Committee Minutes of April 11, 2016** – No questions.

B. Consent Calendar – The Senate approved the consent calendar of April 25, 2016 as written

C. Executive Committee Action Items:

Chair Kimbarow presented AS 1613, Senate Management Resolution, Conferring the Title of Honorary Senator on Dr. Judith Lessow-Hurley (Final Reading). The Senate voted and AS 1613 passed as written (41-0-1).

Chair Kimbarow presented AS 1615, Sense of the Senate Resolution, Support of the You Can Play Project (Final Reading).

Senator Sabalius presented an amendment that was seconded to amend the second Resolved clause to read, "That the Division of Athletics at San José State University allocate funds to promote the...." The Senate voted and the Sabalius amendment failed (5-34-0).

Senator Kaufman presented an amendment that was friendly to the body to strike "allocate funds to" from the first line of the 2nd Resolved clause.

The Senate voted and AS 1615 passed as amended (34-0-0).

V. Unfinished Business - None

VI. Policy Committee and University Library Board Action Items. In rotation.

A. Curriculum and Research Committee (C&R) -

Senator Mathur presented AS 1583, Policy Recommendation: Internships, Service Learning, and Off-Campus Learning Experiences (Final Reading).

Senator Heiden presented an amendment that was friendly to the body to add the words, "under the leadership of GUP" after "That the campus" in the 6th Resolved clause.

Senator Mathur presented an amendment that was friendly to the body to add "; and" at the end of the first Whereas clause.

Senator Frazier presented and amendment that was friendly to the body to replace "more

facile" with "simpler" before "process" in the 6th Resolved clause on the 2nd line.

Senator Shifflett presented an amendment that was seconded to add to line 39, "by the SJSU contracts and purchasing office" after "template be created" in the first line of the 1st Resolved clause. The Senate voted and the Shifflett amendment failed (1-32-0).

The Senate voted and AS 1583 passed as amended (33-0-0).

Senator Mathur presented AS 1607, Policy Recommendation, Restoring Options for Students with Quantitative Reasoning Disabilities Affecting Math Skills (Final Reading).

The Senate voted and AS 1607 passed as written (30-0-1).

Senator Mathur presented AS 1609, Policy Recommendation, Amendment to F13-2, Technology Intensive, Hybrid and Online Courses and Programs (Final Reading).

The Senate voted and AS 1609 passed as written (29-0-0).

Senator Mathur presented AS 1622, Policy Recommendation, Academic Certificate Programs: Review and Approval Process (First Reading).

Questions:

Q: What was the second to the last item you noted was changed?

A: The proposal content is clarified for the departments.

Q: Is it possible to substitute the requirements for "other certificates" using academic coursework?

A: I don't think so. The "other certificates" are non-credit.

Q: Right. If a non-credit certificate is in word processing or something, and I have an academic credit-bearing course that gives me that skill, where does that fall in this policy?

A: The policy doesn't speak to this. This policy is laying out the guidelines for academic certificate programs.

B. Instruction and Student Affairs Committee (I&SA) -

Senator Kaufman presented AS 1608, Policy Recommendation, Student Rights and Responsibilities (Final Reading).

Senator Kaufman presented an amendment that was friendly to the body to remove everything from line 91 through line 103.

Senator Shifflett presented an amendment to line 77 to add, "and maintain a webpage with

links to each item." after "Responsibilities." The amendment was seconded. Senator Shifflett withdrew her amendment.

Senator Van Selst presented an amendment to line 75 to replace "all" with "exemplar." The amendment was seconded. The Senate voted and the Van Selst amendment failed (7-26-0).

Senator Laker presented an amendment that was friendly to the body to replace "all" with "relevant."

The Senate voted and AS 1608 passed as amended (33-1-0).

Senator Kaufman presented AS 1620, Policy Recommendation, Probation and Disqualification (First Reading).

Questions:

Q: Is there was anything in the policy that is different than what our current practice is?

A: Not that I'm aware of.

Q: Can we get a copy with the changes highlighted for the final reading?

A: Yes, absolutely we can do that.

Q: Is there anything you know about that will change, especially relating to graduate students?

A: Yes, one thing. Previously there was a rule on the books that if a graduate student finished their degree program entirely with a GPA under 3.0, there were a set of rules they had to follow to finish. The rules were quite draconian. They had to take two classes and they had to be graduate level and taken in the same semester. If at the end of those two classes they had not raised their GPA to 3.0, they would be permanently disqualified. This policy doesn't give them a full green light to take as many classes are they want to raise their GPA, but they can take 9 units. They can take two at a time or three classes at a time to raise their GPA. You can't do grade forgiveness as a graduate student, so it is either grade averaging or new courses.

Q: On line 342 where it describes the five categories by which a graduate student can petition for reinstatement, is the intent that the student can apply up to five times based on these categories, or is their reinstatement attempt limited to one category?

A: My understanding is that they have to pick a category to petition.

Q: Might I suggest this be written into the policy?

A: Sure.

Q: Line 411 states that reinstatement is not allowed for a second disqualification, doesn't that cover the previous question?

A: It is not quite the same thing. What was being asked was if in a single instance you could try all these ways to get back in.

Q: Why can't they try all five categories?

A: You would be willing to accept a petition from a student for extenuating circumstances at the same time they were taking courses to raise their GPA, and you would let them choose between the two?

Q: If they have the qualifications for them, why not allow them to?

A: If David Bruck says it's okay, who am I to argue.

Q: How about changing line 342 to say "on the basis of any one or more of the following five" and then they make their appeal all at once rather than appealing on one then appealing on another, and so on.

A: The committee will take that under consideration.

Q: On line 547, would you consider rewriting? I believe some teaching credential students will actually receive a degree from SJSU. Maybe you could say, "If the teaching credential program does not yield a degree"?

A: I see your point, you can actually get both a degree and a teaching credential.

C. Professional Standards Committee (PS) -

Senator Peter presented AS 1611, Policy Recommendation, Rescinds S02-8, Information Technology Resources Responsible Use Policy (Final Reading)

The Senate voted and AS 1611 passed as written (34-0-0).

Senator Peter presented AS 1616, Policy Recommendation, Amending S15-6, To Clarify Procedures for Recruitment Committees (First Reading).

Senator Peter explained that the PS Committee had requested this policy recommendation come to the Senate as a Final Reading, but he had mistakenly put First Reading on it. This will require a two-thirds vote in favor by the Senate.

Senator Peter presented a motion to suspend the rules and make AS 1616 a Final Reading. The motion was seconded. The Senate voted and the Peter motion passed (21-6-0).

Senator Mathur presented an amendment that was friendly to the body to add, "be cancelled" at the end of line 57.

Senator Curry presented an amendment that was friendly to the body to change, "(and his designees)" on line 55 to read, "(and his/her designees)".

Senator Laker presented an amendment that was friendly to the body to change line 36 to read, "...shall be recorded, shared with, ...".

Senator Lee presented an amendment that was friendly to the body to remove, "When committee recommendations are not unanimous," from lines 37 and 38.

The Senate voted and AS 1616 passed as amended (29-0-3).

Senator Peter presented AS 1618, Policy Recommendation, Amends S15-7, To Clarify Secret Ballots for Choosing RTP Committees (Final Reading).

Senator Peter presented a motion to move AS 1618 to a final reading. The motion was seconded. The Senate voted and the Peter motion passed with a two-thirds vote (26-2-0).

The Senate voted and AS 1618 passed as written (28-0-1).

Senator Peter presented AS 1619, Policy Recommendation, Adopting New SOTE and SOLATE Instruments (First Reading).

Questions:

Q: Could you please expand on the discussion you may have had in committee on question 14?

A: Of course it is subjective. Everything on the survey is subjective, but it may give you an idea of what the student thinks.

Q: Did SERB have a chance to talk over the question of "How would you describe your efforts in this course?" and why is that on the survey?

A: There seemed to be some need to get from the students how much effort they were putting into the class, because some faculty felt their courses were being graded very low by students that were not participating, so this was an attempt to get some measurement of how the student viewed their efforts in the course.

Q: On the SOLATE question number 14, "Did any other student attempt to influence your answers on the survey?," can you explain your rationale for collecting that data and how that information would be used?

A: That question also appears on the SOTE. These are flags, so that people in Institutional Effectiveness and Analytics (IEA) can find out if there has been some kind of coercion. This information is not required or reported.

Q: Item 18 on the SOTE asked students to self-report on how many hours they spent outside of class on course related activity, and it says, "(NOTE: This will be programmed to be answered as a number field, and the course units will be added to the report, allowing users to easily divide the answer by the actual course units to generate Carnegie Units," did I understand you correctly that everything after the informational items is not part of the SOTE report that faculty will receive?

A: That is my understanding, but let me ask Senator Lee from SERB.

A: My understanding is that everything from 14 on would go to faculty.

Q: So then the faculty member would see if the student answered that the faculty member had attempted to influence the student as well?

A: Yes. I asked this question to the Chair of SERB and was told the pros outweighed the cons and that this was important information that should go out.

Q: Is it the intention of SERB to use this information for institutional reporting?

A: The intention was to get faculty feedback that would help, and it would be useful to department chairs to report back to Graduate and Undergraduate Programs (GUP) the number of hours per credit unit per week that students report working on classwork.

A: The PS Committee was not unified in its understanding of how the data would be used on that point. Most members of the PS Committee would like to receive that data for their personal use. A few members were skeptical about putting that data to institutional use. It might be helpful for IEA to clarify how that data might be used for the final reading.

Q: It would certainly help me decide how to vote if SERB included information on which of these questions would come back to the faculty member and which questions would be used for other purposes.

A: The PS Committee will inform SERB. We cannot change the questions, but we could insert a Resolved clause about how the data would be used.

Q: I've heard various answers to this question, but I'd like this in the minutes. There are a lot of people concerned about SOTE questions because they are asking about teaching instead of learning. I understand it is difficult to measure learning on a survey of this nature, but I would argue it is just as hard to measure teaching. My preference would be to have the whole SOTE be a survey of learning. I'd like a response to this.

A: The PS Committee asked SERB to include questions about learning and the response was that there have been some studies done and students are notoriously poor at judging their own learning.

Q: What policy says that SOTES can't be amended, is it this one?

A: No, it is the teaching evaluation policy.

Q: The SOTES are supposed to be about teaching effectiveness and the questions from 14 on really aren't about teaching effectiveness. As all of us that deal with surveys know, one item influences other items on the survey. We are now increasing the length by about one-third, and I think decreases student interest in completing the survey. I also think that some of these questions have the potential to drive the answers to other questions.

A: If you want to approve this when it comes back, that is fine. If there is enough concern, it could be referred to SERB with instructions. The third option is to amend some of the Resolved clauses without touching the questions.

Q: Given the short time frame to approve, can we table this and have a working session with the Senate. This might be something appropriate for a Senate Retreat.

A: That is my suggestion that if it is referred back to SERB with instructions, you put in the instructions all of the concerns you'd like to see fixed. That would be for the next Senate meeting. This meeting is just for questions.

Q: What mechanisms does IEA or SERB have to do anything about connecting what someone's performance was on older SOTES compared to these SOTES?

A: In the teaching criteria of the RTP policy, there are a couple of different levels of achievement that identifies improvement from prior norms. That is built into the RTP policy. In terms of what SERB can do, in our last revision of the teaching evaluation policy we gave them broad latitude to design a variety of norms.

Q: On line 341 where it specifies, "(NOTE: This will be programmed to be answered as a number field, ..." does that mean there is a blank space there, or are there numbers already on it?

A: I presume it means you put in a number in a certain range. It originally came to PS with five different ranges to choose from and the PS Committee requested that SERB do something different, because that would have to be a question that would apply to a course that was one-unit, three-units, and six-units. That is why SERB inserted the number field. Q: Where it specifies that, "the course units will be added to the report, allowing users to easily divide the answer by the actual course units ...," does this mean a report will be generated after everything, and the users are the people that get the report?

A: I'm not clear about this either.

Q: There is a perception that the SOTES have declined in ranking with the new online SOTES and there is also a perception that there is a correlation between grades and quality of teaching, e.g. that students perceive the higher their grade the better the teaching. Where would one go to find those kind of answers if indeed there has been deflation in the SOTES and inflation because of the grades?

A: There has been deflation when the electronic SOTES went into effect the drop was about 3/10th of a point. This is why we re-normed to reflect that. That's why we sent a memo out saying judge according to norms and not raw numbers, because the norms are quite different between the old paper SOTES and online SOTES. With regard to the relationship between grades and SOTES that was one of the major concerns the PS Committee had at the time we revised the last policy, so you can see now a little chart at the bottom showing exactly how each student that got each grade evaluated you in the course. Generally speaking, there is a relationship but it is a slight relationship. Many people that study teaching evaluations argue that it is appropriate that there is a relationship, because people that learn more ought to like you better.

D. Organization and Government Committee (O&G) -

Senator Shifflett presented AS 1605, Senate Management Resolution, Electronic Voting (Final Reading).

Senator Shifflett presented an amendment that was friendly to the body to change "cal" to "call" in line 61.

Senator Frazier presented an amendment to change the word "shall" to "can" in line 22. The amendment was not seconded.

Senator Frazier presented an amendment to change "shall" to "may" in line 26. The amendment was seconded. Senator Frazier withdrew his amendment.

Senator Frazier presented an amendment that was friendly to the body to change the last Resolved clause to read, "Resolved: That except in circumstances where a secret ballot is necessary, when electronic devices are used for official voting it will be done in parallel with an unofficial show of hands."

The Senate voted and AS 1605 passed as amended (27-4-0).

Senator Shifflett presented AS 1603, Policy Recommendation, Committee Obligations and Senate Membership (Modification of Bylaw 6) (Final Reading).

The Senate voted and AS 1603 passed as written (30-0-0).

Senator Shifflett presented AS 1590, Senate Management Resolution, Remote Attendance at Senate and Committee Meetings (Final Reading).

Senator Shifflett presented an amendment that was friendly to the body to change, "thus the bylaws place" in line 41 to read, "thus the standing rule places."

Senator Peter presented an amendment to lines 66 through 71 to strike, "At the discretion of the Senate chair remote attendance may be permitted when appropriate and reliable resources are available and the work of the Senate will not be compromised. Such accommodations should be rare. The individual requesting remote attendance is responsible for making all necessary arrangements needed to facilitate remote attendance." The amendment was seconded. The Senate voted and the Peter Amendment passed (26-5-0).

The Senate voted and AS 1590 passed as amended (31-0-0).

Senator Shifflett presented AS 1621, Policy Recommendation, Departmental Voting Rights (First Reading).

Questions:

- Q: What is the status of a permanent faculty member on temporary assignment to another department?
- A: You would have a full vote in your department of record, but no vote in the temporary assignment.
- Q: Why should I have less of a vote than a temporary faculty member in that department?
- A: Good question, I'll take it back to the committee.
- Q: A common thing that I see across the campus is that there are faculty that are pulled

from their home departments to chair other departments and they are still very involved in their home department, will they now only get to vote in the department they are chairing? A: This was a point discussed at length in the Organization and Government Committee, and we decided to put it forward as you stated. You would have full voting rights in the department being chaired, but no voting rights in the home department. This is one of the reasons the Organization and Government Committee invites your feedback particularly on items 5 and 7.

E. University Library Board (ULB) – No Report.

VII. Special Committee Reports –

Highlights of the Faculty Diversity Report by Senator Elna Green, AVP for Faculty Affairs are as follows:

The number one reason that faculty gave for declining our employment offer was the cost of housing. We have competitive salaries, but not in the context of the cost of living in the area. Another challenge is the state of our facilities, STEM in particular. We also have Proposition 209 which says we are not allowed to do opportunity hires, and we cannot set quotas. What we can do is to work to diversify the applicant pools.

We have a diversity master plan. This includes our guiding principles for the campus since 2009. This is our master plan for diversifying not only our faculty, but also the student body, the campus, and the curriculum. Faculty Affairs continues to follow the diversity master plan.

For faculty, the diversity master plan laid out a program of training and outreach and best practices. It included broadening the applicant pool by creating extra advertising and outreach, establishing faculty-in-residence programs, travel funds for recruitment activity, and extensive training for recruitment committees. Faculty Affairs is doing all of these things. Faculty Affairs has advertised in Blacks in Higher Education, Chronicle of Higher Education, Diverse Education, etc.

For the past two years Carlos Garcia has been the faculty-in-residence. Two new faculty-in-residence have been appointed for next year and they are Magdalena Barrera and Rebecca Burciaga. The faculty-in-residence work with the recruitment committees all year long and look for additional ways to do outreach and advertising. The also look for likely places to advertise where there might be a large number of graduate students in a particular area. The faculty-in-residence also participate in the training for the recruitment committees.

This year we had 66 searches approved by the Provost. Out of those 66 searches we hired 58 faculty. That is the largest number of new tenure and tenure/track faculty hired in a decade. The largest number of faculty ever hired was in 2005 when 68 tenure and tenure/track faculty were hired.

We have had 72 approved for recruitment this coming year, and as of today we have 46 signed contracts for next year. We have about 8 offers still out there waiting to come in, and about 10 recruitments still going on. However, we have had a lot of resignations. These are not

retirements, they are resignations. Last year we had 15 faculty resign. We are making gains in tenure and tenure/track density, but it is slow since we continue to lose faculty. The demographic profile of the 15 that we lost roughly parallels the demographic breakdown of the campus tenure and tenure/track faculty.

We had the largest percentage of female faculty hires this year at 58.6%. We had a larger percentage of white hires this year than in the past three years. The three-year breakdown of tenure and tenure/track hires over the past three years is 52.2% white, 30.4% minorities, and 17.4% unknown. The unknown category is troubling and is enough to make a difference if we knew where people might fall, but this is self-reported data. Other CSUs don't have as high a level of reporting "unknown" as SJSU does.

Out of the 58 hires this year, there were 14 international faculty. These included three from Canada, four from China, one from Iran, two from Russia, one from Serbia, two from S. Korea, and one from Turkey.

Data that you see on the Office of Institutional Effectiveness and Analytics (IEA) website is reported exactly how the Chancellor's Office requests the data. What this does is exclude a lot of people. The Chancellor's Office has asked us to report people that have a teaching assignment. If you are not in a teaching assignment for the Fall semester, then you get left out of the data. If you are a librarian or counselor, are out on a DIP or sabbatical that Fall semester, are on a leave of absence, or are a 1.0 Department Chair you do not get counted. In 2014, the number of those left out was pretty significant. There were 85 people that did not get counted. So you will often hear Faculty Affairs say a number that doesn't match what IEA has and this is because Faculty Affairs does include everyone.

Right now we have approximately 57% white, just under 10% unknown, and about one-third minority tenure and tenure/track faculty. We are very close to having 50/50 male and female tenure and tenure/track faculty this year. It is quite likely that with this next cycle of hiring we will get to 50/50.

Questions:

- Q: You mentioned that we are different than other campuses in the unknown reporting, and I was wondering if we might have families that are multi-racial and multi-ethnic?

 A: There is a "multi" category, but it does not let you combine different categories.
- Q: Going from 5 recruitments a year to 60 recruitments a year is really an impressive job. I commend Faculty Affairs. Do you have any information about whether the disciplinary advertisements or the group-targeted advertisements are more helpful in getting people in? A: Carlos Garcia is currently working on tracking the places where faculty are reporting that they saw the ads. Faculty Affairs is hoping this will give them some more information about where to spend advertising dollars next year.
- Q: Were any reasons given for the resignations?

A: We don't have a formal exit interview, but we do often hear from people about where they are going and what they are going to do. They are often going somewhere they will get a bump in pay, or a bump in status or rank, etc. However, Faculty Affairs does not have a formal survey instrument about that.

Q: One of the issues I think we need to address is to provide role models for our students. We need people that have shared experiences with the students that come here. I'm wondering what the extent to which the minority overlap with the international hires, because I think there is a difference between being from a traditionally underrepresented group in the U.S. and being from a foreign country. I'm also wondering what fields the women we are hiring are in?

A: Yes, there is some overlap between the category for minority and the international category. Not all international faculty are classified as minority. As far as the breakdown by department, Faculty Affairs did not do that but the information is on the IEA website.

VIII. New Business - None.

- IX. State of the University Announcements. Questions. In rotation.
 - **A. Provost** No report.
 - B. Vice President for Administration and Finance (VPAF) –
 - C. Vice President for Student Affairs (VPSA) Not Present
 - D. Associated Students President -
 - E. Vice President for University Advancement (VPUA) No report.
 - F. CSU Statewide Senators –
- **X. Adjournment** The meeting adjourned at 4:58 p.m.

April 18, 2016 12-1:30 ADM 167

Present: Kimbarow, Martin, Peter, Frazier, Shifflett, Heiden, Feinstein,

Backer, Larochelle, Lee, Mathur, Blaylock, Lanning, Amante,

Kaufman

Absent: None

1. The minutes of April 11, 2016 were approved as amended by Senator Mathur (14-0-1).

2. Consent Calendar

There was no dissent to the consent calendar of April 18, 2016.

3. Updates:

a. From the President:

Interim President Martin continues to keep President Papazian up-todate with recent policy recommendations from the Senate and campus events.

The Honors Convocation was held on Friday, April 15, 2016 at 6 p.m. in the Event Center and it was an outstanding event.

- b. From the Provost: There was a reception held at the President's house on Saturday, April 16 for the members of the California State Student Association (CSSA) who were here for their spring meeting hosted by the SJSU Associated Students. It was a wonderful event.
- c. From the Vice President for Student Affairs (VPSA): Saturday, April 16, 2016 was "Admitted Spartans Day" on campus and they received over 11,000 RSVPs. The event was well attended and there were many departments and student organizations represented at the event.

Linked In has launched their careers app for students. SJSU was one of the first to test this app.

There are 54 candidates in the AS Elections and this is the largest number of candidates in the history of the campus.

d. Vice President for Administration and Finance (VPAF):
The Budget Advisory Committee (BAC) will hold its first meeting within the next two weeks.

There will be another Alert SJSU test in the near future.

e. Vice President for University Advancement (VPUA):
The Bay Area News Group merged staffing for its newspapers,
including the San Jose Mercury News, on April 5, 2016. This will result
in SJSU having to compete with many other cities and universities for
news coverage

In addition, The KLIV all news radio station recently changed its format to country music. This will also result in a loss of favorable news reporting for SJSU.

- f. Organization and Government Committee (O&G): O&G will be wrapping up the Voting Rights Policy today and will be bringing that to the Senate for a first reading on April 25, 2016.
- g. Professional Standards Committee (PS): PS will be bringing a policy from the Student Evaluation Review Board (SERB) on new SOTES and SOLATES to the Senate on April 25, 2016 for a first reading.
- h. Curriculum and Research Committee (C&R):
 C&R will be bringing the Service Learning Policy to the Senate for a final reading, and the Certificate Policy for a first reading at the April 25, 2016 Senate meeting. The Program Planning Policy and revised guidelines will come to the Senate in the Fall 2016.
- University Library Board (ULB): The ULB has been discussing the use of space in the library and how lottery funds should be used.
- j. Instruction and Student Affairs Committee (I&SA) I&SA discussed what constituted a quorum—a majority of the members plus one, but not counting non-voting members. I&SA will be bringing the Student Rights and Responsibilities Policy to the Senate for a final reading at the April 25, 2016 meeting. I&SA may bring a new Disqualification Policy to the Senate for a first reading also at the April 25, 2016 meeting if they can finish it today.
- k. CSU Statewide Senate:
 Senator Lee and the Executive Committee congratulated Senator
 Peter on his inspiring oration at the Honors Convocation.

- 4. The committee discussed AS 1613, Senate Management Resolution, Conferring the title of Honorary Senator on Dr. Judith Lessow-Hurley (Final Reading). A motion was made and seconded to approve the resolution. The Executive Committee voted and AS 1613 was approved (14-1-0).
- 5. The committee discussed AS 1614, Sense of the Senate Resolution, Supporting Efforts to Reduce Student Costs for Textbooks by Encouraging the Use of High Quality Open Educational Resource Course Material (Final Reading). A motion was made, seconded and approved to postpone the resolution in order to resolve some remaining concerns.
- 6. Per Senate bylaw 1.6.2c, the committee discussed and approved the appointment of seven new Senators recommended by the colleges and the general unit for one-year terms
- 7. The committee discussed creating an online form and sending out a link to it to all faculty specifically for the at-large seats available on some of the Senate committees. AVC Backer will send an email to all faculty this week with the link
- 8. The meeting adjourned at 1:35 p.m.

These minutes were taken by the Senate Administrator, Eva Joice, on April 21, 2016, and edited by Chair Michael Kimbarow on April 22, 2016. The minutes were approved by the Executive Committee on May 2, 2016.

1 2		STATE UNIVERSITY Committee		
3 4	May 9, 201 Final Read	6 AS 1614		
5 6		Sense of the Senate Resolution		
7 8 9 10	Supporting Efforts to Reduce Student Costs for Textbooks by Encouraging the Use of High-Quality Open Educational Resource Course Material			
11 12 13 14 15 16	Whereas	Assembly Bill 798 (AB 798), "College Textbook Affordability Act of 2015" aims to encourage faculty to consider alternatives to high-cost textbooks by adopting open educational resources (OER) of high quality that will reduce student costs; and		
17 18 19 20	Whereas	AB 798 has created a financial and professional development incentive program to facilitate faculty development, consideration, and integration of high quality OER materials into their courses; and		
21 22 23	Whereas	The State legislature has recognized the role of the faculty and senates on each campus to control all issues pertaining to curriculum, and		
24 25 26 27 28	Whereas	The SJSU Senate has been actively engaged over the last decade in addressing issues pertaining to curricular and infrastructure developments that benefit our students, as evidenced by <u>SS-S06-5</u> , "Improving Textbook Affordability and Availability"; and		
29 30 31 32 33	Whereas	Support for the adoption of high-quality OER is not intended to prevent faculty from continuing to publish in the venues of their choice or from selecting course materials in the format pedagogically best suited for instruction; and		
34 35 36	Whereas	AB 798 requires the support of each campus' senates to be eligible for the incentives; therefore be it		
37 38 39 40	Resolved	That the Academic Senate of San Jose State University affirms its support of the principles of academic freedom and the right of faculty to manage course material; and be it further		
41 42 43 44 45 46	Resolved	That controlling the rising costs of a college education in California is a multi-faceted issue and the SJSU Academic Senate calls upon the legislature to fund the CSU at adequate levels and that we continue to advocate to restore the necessary state support for the CSU; and be it further		

47 Resolved That the SJSU Academic Senate calls upon book publishers and the 48 bookstore to commit to reducing student costs of textbooks; and be it 49 further 50 51 Resolved That the SJSU Academic Senate support faculty efforts to reduce student costs of attending our university and that we recognize the many faculty 52 53 who have already committed the extraordinary time and effort needed to 54 substantively develop and integrate into their courses, in a responsible manner, alternatives to high-cost materials; and be it further 55 56 57 Resolved That, while acknowledging that this is only one mechanism to control the high cost of attending college, the Academic Senate supports the tenets of 58 59 AB 798 and directly quotes the required legislative language calling for campuses to ""increase student access to high-quality open educational 60 resources and reduce the cost of textbooks and supplies for students in 61 62 course sections for which open educational resources are to be adopted to accomplish cost savings for students." 63 64 65 66 Approved: May 2, 2016 Vote: 7-2-6 67 Present: Kimbarow, Blaylock, Heiden, Peter, Mathur, Larochelle, Frazier, 68 69 Shifflett, Kaufman, Lee, Martin, Amante, Lanning, Backer, Feinstein None 70 Absent: 71 72 Curricular Impact: As with the adoption of any new course materials, course curricula 73 may need to be updated or changed to reflect faculty choices of OER materials. 74 75 Financial Impact: 76 If faculty choose OER materials for their courses, there will be 77 significant cost-savings for students. 78 79 Workload Impact: If faculty decide to use OER materials for their courses, they will 80 need to devote significant additional time to assessing the quality of available materials, to revising their course activities and curricula, 81 and to participating in AB 798 grant-related professional 82 development activities. There will be additional workload for the 83 Affordable Solutions team on campus and the Center for Faculty 84 Development as they coordinate efforts across faculty and provide 85 support to faculty in searching for OER materials equal to, or higher 86 than, the quality of higher cost texts and course materials. 87 Workload will also increase for those engaged in the review of 88 proposals from faculty interested in this grant opportunity. 89

San José State University Executive Committee May 9, 2016 Final Reading

AS 1623

Sense of the Senate Resolution Honoring and Thanking President Susan Martin for Her Service to San José State University

Whereas, After serving as President of Eastern Michigan University for seven years, President Martin agreed to postpone her planned sabbatical to come to San José State University to serve as Interim President, and Whereas, President Martin, her husband Dr. Larry Martin, and their puppy Teddy moved cross-country on short notice to come to San Jose, and Whereas, President Martin took up residence in a campus apartment and lived among the students for almost three months, and Whereas. President Martin reached out to students, faculty and staff across the campus and became a very public face of the institution, and Whereas, through her natural, authentic, and transparent communication style, President Martin immediately improved morale among faculty, students, and staff, and Whereas, President Martin embraced Spartan Pride and communicated a positive message about SJSU to the campus and external community, and Whereas, President Martin saw to it that Dudley Morehead Hall would be retrofitted for air conditioning, and Whereas, President Martin created an environment for the cabinet to work collaboratively for the benefit of the campus Whereas, President Martin showed an amazing ability to bring a wide array of personal and professional skills to bear on campus issues in a way that repeatedly moved us forward, and President Martin's capacity to understand the pivotal issues when Whereas, listening to the concerns of faculty, staff, students, and administrators enabled the campus to make substantive progress

over the course of the year, and

Whereas, President Martin demonstrated respect and support for shared

governance and attended all Executive Committee and Senate

meetings when she was able; therefore be it

Resolved, That the SJSU Academic Senate thanks President Susan Martin for

her extraordinary service to the University, and be it further

Resolved, That we wish President Martin a much deserved peaceful and

stress-free sabbatical year when she returns to Michigan, and be it

further

Resolved, That we will always remember with great appreciation all that she

accomplished for the campus during her short time with us and will always consider President Martin a member of the San José State

University Spartan family.

Approved: May 3, 2016

Vote: 14-0-0

Present: Kimbarow, Feinstein, Blaylock, Lanning, Larochelle,

Kaufman, Heiden, Peter, Mathur, Backer, Amante, Lee,

Frazier, Shifflett

Absent: None

1		ate University		AC 4000
2 3	Academic Se	enate and Research Co	mmittee	AS 1622
4	May 9, 2016	iliu Nesealcii Co	iiiiiiiiiiiiiee	
5	Final Readin	a		
6	i mai itaaani	ອ		
7		Po	olicy Recommendation	1
8	Acad		Programs: Review an	
9	2 10 0.0			эт фр. ото
10	Rescinds: S	12-5 and S13-10		
11				
12	Rationale:			
13	Executive Ord	der #806 from the	Chancellor's office prov	vided a framework for offering
14	certificate pro	grams and encou	raged the development	of such programs. The existing
15	certificate pol	icies, S12-5Polic	y Recommendation, Re	eview and Approval Process for
16	Academic Ce	rtificate Programs	and S13-10Policy Re	commendation, Modify the Review
17	and Approval Process for Academic Certificates, provide the review and approval process			
18	for the current certificate process at SJSU (including earning certificates through Open			
19	University). A	s described in Titl	e 5, California Code of	Regulations, Section 40400 provides
20		•		ne faculty of a campus, shall issue a
21			· · · · · · · · · · · · · · · · · · ·	ed course of study. After
22	•		•	ee years, problems have arisen with
23	•	•		pen University within certificates, and
24	the review pro	ocess timeline of c	certificate proposals with	nin committees.
25	5	TI (4) (1)		11 26 0
26	Resolved:		g be adopted as policy;	
27	Resolved:		• •	é State University must be reviewed
28		it further	ider the process outline	ed in the attached guidelines; and be
29 30	Resolved:		voore cortificate progra	ims that predate the adoption of this
31	Resolved.			under the attached guidelines; and be it
32		further	sviewed and approved t	ander the attached guidelines, and be it
33	Resolved:		ates from annroved cer	tificate programs can be awarded
34	resorved.	and posted on tr	• •	illicate programs can be awarded
35		and pooted on the	anompto.	
36			Certificate Guide	lines
37			Types of Certificate F	
38	1) Certificate	programs are defi		which some form of recognition from San
39	José State University is awarded to participants. There are two basic kinds of certificate			
40	programs, Academic and Other (defined below) but only the former is the subject of this policy.			
41	2) Academic certificate programs			
42	a) Definition : Certificate programs are classified as "Academic" if students receive			
43	academic credit for any courses in the program.			
44	b) Types of Academic certificate programs			

45	i) Basic (undergraduate level)
46	(1) Definition: Basic certificate programs provide opportunities for
47	students to pursue specialized, often pre-professional, focused
48	educational objectives that may be separate from a degree program.
49	(2) Jurisdiction: Basic certificate programs are under the jurisdiction of
50	the Undergraduate Studies (UGS) Committee and administered by the
51	Office of Graduate and Undergraduate Programs (GUP).
52	ii) Advanced (graduate level)
53	(1) Definition: An advanced certificate program offers post-baccalaureate
54	students coursework leading to a specific, applied, focused goal.
55	(2) Jurisdiction: Advanced certificate programs are under the jurisdiction
56	of the Graduate Studies and Research (GS&R) Committee and

administered by GUP.

3) Other certificate programs

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- a) **Definition:** Certificate programs are classified as "Other" if no academic credit or grade is required to be awarded for completion of courses in the program.
- b) **Jurisdiction:** College of International and Extended Studies (CIES) oversees these certificates in consultation with the AVP of GUP.

General Guidelines for Academic Certificate Programs

- 1) Self-supporting certificate programs, both basic and advanced, credit and non-credit, will be administered by CIES, but curricular reviews will be overseen by GUP.
- 2) State-support certificate programs must be credit bearing and must go through the curricular review process overseen by GUP.
- 3) Academic certificate programs should establish at least one advisor or director to oversee certificate programs within the unit.
- 4) Certificate programs that are classifiable as "Academic" that do not meet the criteria for this policy must be discontinued or go through a review process prior to Fall 2018.

Specific to Academic Basic Certificate Programs

Requirements

- 1) Basic certificate programs must include a minimum of 9 units and maximum of 18 units of coursework. Programs may require that all prerequisite coursework has been completed prior to enrolling in the basic certificate program. At least 6 units must be completed at SJSU.
- 2) Basic certificate programs may include lower-division and upper-division courses numbered 1 through 199 (excluding individual studies, directed reading, supervision, and credit/no-credit courses).
- 3) A clearly stated assessment plan with learning outcomes must be included in the certificateproposal.
- 4) Basic certificates are available to matriculated students (regular or special session status).
- 5) A maximum of 33% of basic certificate units (e.g., 3 units for a 9-unit certificate) can be
- 86 completed through Open University at SJSU with approval from the department or school.
- 87 6) Unless otherwise stated in the catalog, courses taken as part of an SJSU Academic
- 88 Certificate program can be applied to an approved major, minor, or emphasis program where

- one is required for the student's degree. Unless otherwise stated in the catalog, courses taken for a major or minor may be applied to a basic certificate program upon approval from the basic
- 91 certificate program advisor/director.
- 92 7) Students must have a minimum GPA of 2.0 in basic certificate coursework in order to be
- 93 awarded a certificate. However, departments or comparable units may elect to set more
- 94 stringent standards to ensure the quality of certificate holders with respect to the program.
- 8) The advisor/director of the program is responsible for verifying a student's satisfactory
- 96 completion of the academic requirements established for the program and for forwarding a copy
- 97 of the certificate completion form to the Office of the Registrar. The Office of the Registrar
- 98 records the completion of the program on the student's transcript.

Specific to Academic Advanced Certificate Programs

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- 1) Advanced certificate programs must include a minimum of 9 units and maximum of 18 units of coursework.
- 103 2) Advanced certificate programs must be comprised of courses numbered 100 through
- 104 296 (excluding individual studies, directed reading, supervision, and credit/no-credit courses).
- 106 3) A clearly stated assessment plan with learning outcomes must be included in the proposal.
- 107 4) With the approval of the department or school, units may be applied to both an
- advanced certificate program and a graduate degree program offered by the department.
- 5) All advanced certificate programs must be constructed solely with courses taken through
 San José State University.
 - a) Students must maintain a minimum GPA of 3.0 in all advanced certificate coursework, with no less than the grade of "C" in any course. A maximum of 4 units of coursework with a grade of "C" can count toward an advanced certificate.
 - b) A maximum of 4 units of coursework may be repeated. The grade used for the GPA for the advanced certificate is the average of the initial grade and the grade upon repeating the course.
 - c) Advanced certificates may be available to matriculated (regular or special session status) and non-matriculated students (i.e., taken through Open University).
 - d) A maximum of 30% of any graduate degree program units (e.g., 9 units for a 30-unit Master's degree) can be completed from another institution and/or units from Open University (including advanced certificate courses) at SJSU with approval from the department or school.
 - e) The choice of grading requirements may have implications for transferability to degree programs.
 - 6) These guidelines constitute minimum standards for advanced certificate programs; departments may propose additional requirements for approval by the GS&R Committee.
 - 7) Departments/programs offering advanced certificate programs must have their advanced certificate students complete an intake form and submit an official transcript(s) (noting the
- 129 completion of a U.S. bachelor's degree from an accredited institution or the equivalent of a
- 130 U.S. bachelor's degree from an accredited and/or recognized institution from a foreign country).

- Students must have an undergraduate GPA of at least 2.5 (where A=4). A department or program can propose more restrictive requirements subject to approval by the GS&R committee.
 - a) Non-matriculated students who complete an advanced certificate program solely through Open University are required to send copies of this documentation to the CIES wherein this information will be retained and tracked by CIES. Matriculated advanced certificate students that go through a formal university admissions review will have the said documentation retained and tracked at the Graduate Admissions and Program Evaluations (GAPE) office within Enrollment Services.
 - b) Departments/programs offering advanced certificate programs may specify subject matter and/or coursework prerequisites for entrance into the certificate program. Such prerequisites must be listed in the university catalog. Prerequisite courses or equivalent experience must demonstrate current and appropriate preparation as determined by the program. All other grading regulations of the graduate school apply to the courses in the certificate programs (e.g., the prohibition against taking graded classes pass/fail).
 - c) Where appropriate, some form of portfolio presentation, performance audition, or other evidence of specific competence may be required by departments. Such criteria will also be listed in the catalog.
- 8) The advisor/director of the certificate program is responsible for verifying information in the student's intake form and the student's satisfactory completion of the academic requirements established for the program and for forwarding the certificate completion form to GAPE. After a review and evaluation, GAPE then records the completion of the program onto the student's transcript.

Process for Proposing and Reviewing Academic Certificate Programs

- 1) All courses in a certificate program must undergo the normal course approval process prior to approval of the certificate course package.
- 2) Proposal Content:

- a) SJSU College Dean Curricular Proposal Approval Form(s).
- b) Brief statement of purpose.
- c) Clearly stated learning outcomes mapped to coursework.
- d) Catalog copy, which includes the following:
 - i. Brief statement of purpose.
 - li. Admissions requirements.
 - iii. Course requirements.
 - iv. Any prerequisites for the certificate program.
 - v. Total number of units.
- e) GPA needed to receive the certificate if other than a minimum of 2.0 for basic certificates and 3.0 for advanced certificates.
- f) Program advisor.
- g) For advanced certificates: number of units applicable (if any) to a degree and/or major depending upon matriculation status (with the caveat that the units may not be uniformly applied but require advisor consent).

- h) For advanced certificates: if students are allowed to complete certificate courses through Open University, then the department/program must provide a justification for this pathway. The justification establishes that sufficient space will be available in the courses required for the certificate program. i. This justification must comply with Executive Order #1099 which allows OU enrollment in state-supported courses on a space available basis after enrollment opportunities have been provided to state-support matriculated students. ii. The justification must also comply with Executive Order #805 which states "enrollment or potential enrollment of non-matriculated students in state supported courses shall not be the basis of the addition for a course that would otherwise be cancelled because of low enrollment of regular matriculated students".
 - iii. Departments/programs must go through a recertification process every 3 years that re-evaluates the justification for certificate completion through Open University. These recertification requests will need approval by the college deans, the Chair of GS&R, and the Provost. GUP will oversee this recertification process.

3) Submission process

- a) Academic certificate programs (either basic or advanced) may be proposed by department, school or college curriculum committees.
- b) Proposals may be submitted, reviewed, and approved at any time during the academic year.
- c) For entry into the catalog, the approval must be registered with GUP according to published catalog deadlines.
- 4) Review process for new proposals

The reviewing bodies are responsible for timely review and approval of academic certificate programs:

- a) Proposals from either department or college level curriculum committees are submitted to the appropriate department chair(s) or school director(s) for review.
- b) Upon approval, the department or school reviews are then submitted with a copy of the proposal to the appropriate curriculum committee(s) and college dean(s) for review and approval.
- c) Upon approval of the college deans, a copy of the proposal (along with reviews from departmental/school and deans) is submitted to the Chair of the appropriate operating committee.
 - i. If the program contains any 200 level courses, the materials are referred to the Chair of the GS&R Committee for review.
 - ii. If the program does not contain any 200 level courses, the materials are referred to the Chair of the UGS Committee for review.
 - iii. During duty days, within one week, the committee Chair will determine if the Committee needs to review the proposal. If no full committee review is required, the proposal and accompanying reviews are submitted to the Provost via the appropriate office (GS&R for programs with 200 level courses or UGS for

217	propos	sals with 100 level programs) with a statement from the Chair specifying
218	that a	review from their committee was not necessary.
219	d) If review by	the appropriate operating committee is necessary, the Chair of the
220	operating com	nmittee will send recommendations from the committees, along with the
221	proposal and	accompanying reviews, to the Provost via the GUP office (GS&R for
222	programs with	200 level courses or UGS for proposals with 100 level programs).
223	e) The Provos	st makes the final decision on whether or not to approve the certificate
224	program.	
225	5) Review process fo	r existing certificate programs
226	a) Substitutior	n, deletion, or addition of courses to the program will need to go through the
227	minor progran	n change process in the GUP office.
228	b) Certificates	involving multiple programs will be assigned to a home department under
229	which to be re	viewed.
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231	Approved (C&R):	May 5, 2016 (electronic vote)
232	Vote:	11-0-0
233	Present:	Anagnos, Bacich, Backer, Buzanski, Clements, Heil, Mathur, Matoush,
234		Schultz-Krohn, Sibley, Stacks
235	Absent:	Sarras
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237	Financial Impact:	Certificate programs have the potential to increase revenue if students
238		enroll through special session.
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240	Workload Impact:	As certificate programs are developed or adopted:
241		The development of certificate proposals will require one faculty
242		member to oversee each program.
243		2. Proposals will increase the number of materials for review and
244		approval for curriculum committees, Chairs/Directors, Deans, UGS or
245		GS&R, and the GUP office.
246		3. CMS and Enrollment Services may have increased workload to
247		matriculate certificate students.

SAN JOSE STATE UNIVERSITY 1 **Academic Senate** 2 **Organization and Government Committee** 3 **Professional Standards Committee** 4 May 9. 2016 **AS 1617** 5 Final Reading 6 7 8 Sense of the Senate Resolution 9 **Calling for Widespread Consultation** 10 Prior to Finalizing any Standards and/or Implementation Strategies 11 **Pertaining to Electronic Communications** 12 13 Resolved: That, prior to finalizing any standards and/or implementation strategies 14 pertaining to electronic communications, the Information Security Officer 15 share widely with faculty, staff, administrators and students the draft 16 standard on Email and Campus Communication 17 (http://its.sisu.edu/docs/security/Standard Email Campus Communication. 18 pdf) and solicit input on revisions, and be it further 19 20 Resolved: That following campus consultation, a revised draft of the standard on Email 21 and Campus Communication be shared with the Senate's Professional 22 Standards Committee to guide their development of a policy 23 recommendation. 24 25 The draft standards on email campus communication contain numerous 26 Rationale: important changes that would substantially alter how faculty, students, and staff 27 communicate through electronic media at SJSU. Some of those changes may be 28 inconvenient or controversial. It would be prudent to solicit the widest possible feedback 29 in order to devise the least disruptive implementation, and to determine if the campus 30 community can suggest alternatives or improvements to the Standard Email Campus 31 Communication plan. 32 33 February 16, 2016 in a different format (part of a larger package) by 34 Approved: Organization and Government 35 Vote: 8-0-0 36 Present: Mathur, Shifflett, Beyersdorf, Becker, Romero, Laker, Curry, 37 38 Grosvenor Absent: Gleixner 39 40 41 Approved: Vote: March 21, 2016 by Professional Standards 42 8-0-0 43 Present: Peter, Green, White, Lee, Virick, Kauppila, Sandoval-Rios, 44 Hamedi-Hagh 45 Absent: Rilev 46 Financial Impact: No changes over the previous policy. 47 Will require some workload as the Information Security Officer will Workload Impact: 48 need to devise and implement a campus wide consultation plan.

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7 8		Policy Recommendation Probation and Disqualification
9 10 11 12 13 14	Whereas	University Policy S10-6 has already been amended twice (S11-1 and S15-5) and now would require many further amendments to become consistent with policies such as F12-7 (Former Students Returning), Academic Disqualification and Reinstatement Review Committee (ADRRC) Guidelines on Probation and Disqualification in the Major, and changes in ADRRC implementation of reinstatement criteria; therefore be it
15 16	Resolved	That University Policies S10-6, S11-1, and S15-5 be rescinded and replaced by the following policy.
17 18	Table of (
19 20 21 22 23 24	l.	 Undergraduate Students A. University Academic Probation and Continued Probation B. University Academic Disqualification C. Reinstatement following Academic Disqualification D. Administrative Academic Probation and Disqualification
25 26 27 28 29 30 31	II.	Graduate, Post-baccalaureate, and Credential Students A1. University Academic Probation and Continued Probation A2. Completion of all Degree or Credential Requirements While on Probation B. University Academic Disqualification C. Reinstatement following Academic Disqualification D. Administrative Academic Probation and Disqualification
32 33 34 35 36 37	III.	Appeal of Administrative Academic Probation or Disqualification A. Student Appeal Filing B. Validity of Appeal C. Subcommittee Structure D. Hearing Rules E. Decisions

I. Undergraduate Students

- 40 Per Sections 41300 and 41300.1 Title 5 of the California Code of Regulations, undergraduate
- students studying for a baccalaureate degree are expected to maintain a grade point average
- 42 (GPA) of 2.0 or better in their academic work at SJSU in order to be classified as being in good
- 43 academic standing. In determining a student's eligibility to remain enrolled at SJSU, both
- quality of performance and progress toward the degree or other program objective are
- weighed. Quality of performance is determined by the GPA in all letter-graded courses. Other
- factors, such as the total number of units taken, the number of courses repeated, or the GPA
- in the major may be considered in determining progress toward degree or other degree
- 48 program objectives.

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A. University Academic Probation and Continued Probation

- 50 Undergraduate students will be placed on academic probation if at any time (following a
- Fall, Winter, Spring, or Summer term) their SJSU cumulative GPA falls below 2.0. The
- 52 probation status is shown on the transcript.
- 53 Undergraduate students on probation will remain on continued probation when the
- following term GPA is 2.0 or better, while the SJSU cumulative GPA remains below 2.0.
- The continued probation status is shown on the transcript and is treated like probation in
- terms of academic standing.
- Freshmen on academic probation are allowed a second consecutive semester of probation
- (known as continued probation) if the SJSU cumulative GPA is in the range 1.50 to 1.99.
- The Registrar will notify students who are placed on probation of that fact when term
- grades are posted. The notification will include a referral of the students to their advisors
- for consultation. Undergraduate students on academic probation may have restrictions
- 62 placed on their total unit load.
- Undergraduate students on probation or continued probation will have holds placed on their
- records and will not be allowed to participate in further registration activity until they have
- conferred with their major advisors to design a study plan to raise their GPA to at least 2.0
- in the most expeditious manner. The registration hold will continue until the student
- achieves clearance from probation.
- Undergraduate students will remain on probation or continued probation until they are
- removed from probation or are disqualified. They are removed from probation and returned
- to good standing when the SJSU cumulative GPA is raised to at least 2.0 (following a Fall,
- 71 Winter, Spring, or Summer term).

B. University Academic Disqualification

- Undergraduate students on probation or continued probation will be academically
- disqualified when the term GPA for a Fall or Spring semester is below 2.0. The disqualified
- status is shown on the transcript.

C. Reinstatement following Academic Disqualification

- Undergraduate students disqualified from the university can petition to be reinstated.
- 79 Reinstatement is a process separate from readmission. Readmission requires
- reapplication via CSU Mentor. University Policy F12-7 provides a mechanism to give
- Former Students Returning (FSRs) priority for readmission as upper-division transfers.
- This is a separate petition process with its own deadlines distinct from those pertaining to
- 83 CSU Mentor application deadlines and to reinstatement petition deadlines.
- The reinstatement petition and FSR petition processes include department and college-
- level approvals. Reinstatement on probation requires, additionally, the signature of the
- Associate Dean of Undergraduate Studies. For undergraduates, reinstatement into the
- university does not guarantee reinstatement into the previous major. Undergraduate
- students who do not obtain department or college-level approval for reinstatement into their
- 89 previous majors may petition for reinstatement into new majors or into an undeclared
- status. The ADRRC is charged with establishing and evaluating the guidelines for
- 91 reinstatement.
- There are four categories available for petitioning for reinstatement as an undergraduate
- 93 student:

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- Raising the SJSU Cumulative GPA to 2.0 or Better. Generally, the SJSU cumulative GPA is raised through SJSU Open University coursework, although retroactive (after the last day of classes) actions by students, such as completion of Incomplete ("I")
- 97 grades or course drops, can also raise the SJSU cumulative GPA.
 - 2. Extenuating Circumstances. Reinstatements in this category will be granted only for serious and compelling circumstances that were clearly beyond a student's control and are clearly documented in the petition. The criteria for approval under this category are similar to those required for a retroactive (course) drop or retroactive (semester) withdrawal. Sometimes the approval of such retroactive petitions will raise the SJSU cumulative GPA to 2.0 or better (good academic standing), thus shifting to a Category 1 approval. However, even in such cases, rescinding academic standing already posted to the record is very rarely approved.
 - 3. Special Consideration. This category is reserved for students whose petitions cannot be accommodated within the other categories. Typically, such students have spent substantial time (five years or more) away from SJSU since their disqualification and can demonstrate that their life experiences have prepared them for a successful return to school. Students disqualified while in the lower division may be reinstated and readmitted in fewer than five years. Generally, students must be eligible for readmission on probation prior to approval under this category. Multiple reinstatements under this category are rarely granted.
 - 4. Petitioned Grade Change. This category is reserved for changes in grade approved under Section III (Grade Appeal) and Section IV (Change of Grade) of University Policy S09-7. If a timely grade change results in an increase in the term GPA or in the SJSU cumulative GPA to 2.0 or better, the student may qualify, not only for reinstatement under this category, but also for the rescinding of the academic standing of probation or

disqualification (meaning that the academic standing is removed from the transcript). The rationale for the rescinding of academic standing is that the instructor and not the student made the error that led to an incorrect posting of academic standing. Generally, the grade change must be made by the Drop Deadline of the following Fall or Spring semester. Further extension of this deadline will be considered only when there is documentation of the student's attempt(s) to contact the instructor and/or the department chair, and the late submission of the change of grade form is clearly beyond the student's control, as described in University Policy S09-7.

Reinstatement of undergraduates following a second disqualification must generally be done under Category 1.

D. Administrative Academic Probation and Disqualification

Per Sections 41300.1 Title 5, "An undergraduate... student may also be placed on probation or may be disqualified by appropriate campus authorities for unsatisfactory scholastic progress regardless of cumulative grade point average or progress points. Such actions shall be limited to those arising from repeated withdrawal, failure to progress toward an educational objective and noncompliance with an academic requirement..."

Limitations. As with academic probation and disqualification, administrative academic probation must precede administrative academic disqualification in all but the most exceptional circumstances (see below). In most cases, a direct reassignment from good standing in the major to disqualification from the major is prohibited. In other words, at least one semester of probation in the major is required prior to disqualification from the major. The underlying philosophical premise is that students should be placed on notice prior to disqualification.

Transcript Notation. Both administrative academic probation and administrative academic disqualification status may be shown on the transcript, but rarely will this happen. Negative service indicators attached to a student's electronic record can effectively manage everything from mandatory advising to restricted enrollment, and should be the routine mechanism for managing administrative academic probation and administrative academic disqualification. If a transcript notation is warranted, then the Associate Dean of Undergraduate Studies makes final decisions about rescinding administrative academic transcript notations. These decisions may be appealed to the ADRRC (see Section III of this policy).

Academic Progress in the Major¹. Most instances of administrative academic probation and disqualification result from probation and disqualification in the major.²

¹ **Definition of Major.** For the purposes of this policy, "major" means a unique degree program. Specifically, each individual concentration is a degree program. For example, there is only one individual type of baccalaureate degree in the College of Business, the B.S., Business Administration. There are, however, multiple concentrations, many of which have different criteria related to probation and disqualification, change of major, and (re)admission to the major. Each of these concentrations is treated as its own major.

² **Supporting Student Success.** Although it may seem harsh to disqualify students from the majors of their choice, in many instances, students will be well served by such departmental policies. For example, there are many students who barely progress through their major degree programs, only to discover when they are high

Despite maintaining a SJSU cumulative GPA of 2.0 or better, an undergraduate student's academic performance in the major may fall below the minimum standards for that major. In these cases, while the student remains in overall good standing with the university, he or she is subject to administrative-academic probation in and disqualification from the major. Each college, school, department, and program (hereafter referred to as "program") may employ program-specific criteria for determining a policy of probation in, disqualification from, and reinstatement into the major. These criteria must be reviewed and approved by the ADRRC.

Notification. Undergraduate programs must ensure that all students within the concerned majors are advised of these program-level criteria and the consequences of being placed on Administrative Academic Probation or Disqualification. At a minimum, criteria in addition to or differing from university regulations must be posted on departmental and/or program websites and any other program documents, such as student handbooks.

Probation in the Major and Disqualification from the Major.

1. Probation in the Major

Undergraduate students may be placed on probation in the major when their cumulative GPA in the major falls below 2.0. The GPA in the major is generally defined by the section of the catalog labeled Requirements of the Major, but for the purposes of this policy major GPA may be specified to include courses in Preparation for the Major. SJSU and non-SJSU courses should be considered.

Departments and schools must notify students in writing of (new) probation in the major or disqualification from the major status no later than two weeks following the posting of university academic standing. They must also be provided with the conditions for release from administrative academic probation and the circumstances that would lead to administrative academic disqualification should probation not be cleared. There should be a mechanism to permit return to good standing from probation. Undergraduate students must be advised to meet with an advisor in the major to design a study plan to raise their GPA in the major to 2.0 in the next semester of enrollment.

2. Disqualification from the Major

If undergraduate students on probation in the major fail to achieve a minimum term GPA of 2.0 in the major during a subsequent Fall or Spring semester, they may be disqualified from the major. Departments and/or colleges must notify the Registrar's

unit seniors that they are unable to complete key upper-division or capstone courses, or they have major GPAs well below 2.0 even though their SJSU GPAs are above 2.0. It is better for students to discover early in their degree work that either they need to demonstrate improvement in courses leading to the major or they should find another major more suited to their talents and interests. All policies developed to be consistent with this policy will still require advising and student support structures (tutoring, counseling, etc.) to function as intended. Probation and disqualification in the major, at its best, can provide a mechanism to compel struggling students to recognize areas for improvement, successfully negotiate hurdles, and get back on track. Alternatively, such policies can help students realize early in their academic careers that they should be exploring other majors and possible careers prior to spending a great deal of time and money pursuing a major that is a poor fit. In summary, well-designed and well-implemented policies for probation and disqualification in the major will be beneficial as an early warning system for students and enhance retention and graduation efforts more generally.

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Students disqualified under this policy will be notified by the program that they are no longer eligible to continue in the major and that their major will be changed to undeclared unless another major for which they are qualified is selected. Notification will include a referral of the students to their advisors for consultation.

3. Guidelines and Criteria for Programmatic Probation and Disqualification

Maximum Course Grade or GPA Requirements. Programs may not require individual course grades to be higher than "C" for undergraduates. At the most, a department may require that each and every course required for the degree program be passed at this standard. The corollary is that the maximum GPA that can be required for any set of courses cannot be higher than 2.0 for undergraduates. Related to these general guidelines are the following stipulations:

- a. Admission requirements and degree requirements are different. Admission to an impacted degree program may include supplemental criteria such as a GPA greater than the 2.0 threshold. However, once a student is admitted to a major, the degree requirements must be limited to "C or better" for undergraduates (Title 5).
- b. Following a disqualification from the major, reinstatement to the major may include course grades or GPA requirements higher than the standard thresholds. In effect, students seeking such reinstatements are being admitted to the major again and may be held to higher standards than are required to complete a degree. This is especially appropriate for impacted majors that already apply supplemental criteria for admission of new students to the major.

Restrictions on Course or Unit Load Per Semester. Programs may restrict a student to two attempts of any course offered by the program. The basic guideline is that the university rules for repeating courses should be followed unless the program chooses to be more lenient than the university. These parameters may be set as a minimum or maximum. For example, cohort programs may require that a minimum number of courses/units be taken each semester in order to best utilize resources or to ensure that the program is completed while student knowledge is still current. Alternatively, setting a maximum number of units may make sense for students on probation in the major. Special situations include the following:

- a. Approved course drops or semester withdrawals (W grades) are considered to be without prejudice and should not be counted as an attempt at a course if the program restricts the number of attempts of a course (per University Policy S09-7).
- b. If grade forgiveness is allowed (undergraduates only), then the repeat grade must be considered without prejudice (as implicit in University Policy F08-2).
- c. If grade forgiveness is not possible when a course is attempted multiple times, the university will use grade averaging in computing the SJSU GPA (per

- University Policy F08-2). A program may also do this or may consider the final attempt at the course or the highest grade in the course for the purposes of the major GPA or to satisfy any requirements prior to completion of the major.
 - d. If the course in question is offered by another department, the program may consider only the first two attempts in determining probation or disqualification status. Clearly, the major department cannot restrict the number of times a student enrolls in a course offered by another department, but it is permitted, for instance, to ignore the grade from a third attempt to pass a class with a C or better.

Exceptions. Exceptions to the rule that administrative academic disqualification must be preceded by a probationary period may be made in the following cases:

- a. In clinical courses, laboratory courses, or other types of programmatic requirements, there may be such serious concerns about the safety or well-being of the student or other students, clients, patients, etc., that repetition of the course is not reasonable. For such courses or programmatic experiences, departments may establish "no repeat" policies, i.e., a course may not be repeated if not passed on the first attempt. The course catalog description, course syllabus, and programmatic information must all clearly provide this information. In clinical or lab settings in which safety or well-being are severely compromised, an instructor may disenroll a student from the course, which may lead to disqualification from the major. In general, the immediate move from good standing to disqualification (without a term of probation in between) should be associated with the inability to satisfy a specific course requirement on the first and only allowable attempt, not with a less specific programmatic requirement.
- b. There may even be time limits or unit limits established to satisfy certain conditions, which, if not met, may lead to disqualification from the major degree program without an intervening term on probation. Cohort programs must provide in their policies a reasonable accommodation for students who must stop out for legitimate reasons.

Programs may consider university probation or disqualification as a factor in determining probation in or disqualification from the major.

4. Reinstatement to the Major

Programs employing a policy for disqualification from the major may have a procedure or set of conditions for reinstatement of those students into the major. Conditions for reinstatement should be clearly communicated to students at the time they are disqualified. If it is not possible to be reinstated after a programmatic disqualification, which is a programmatic option, then that too must be communicated. Conditions for reinstatement from administrative academic disqualification, if it is to be allowed, should be stringent enough that students return to the major in good standing as opposed to being reinstated on probation.

A critical step in achieving reinstatement to the major following disqualification from the major is consultation by students with their advisors to design a study plan that addresses scholastic deficiencies and demonstrates that they are ready to resume rigorous academic work.

5. Petitions

In cases of error or extenuating circumstances, upon receiving notice of administrative academic probation or disqualification, students may petition to an appropriate faculty committee at the program level or to the department chair/school director to appeal such action. In the case of a negative decision in response to the petition, students may appeal to the ADRRC, the process for which is described in Section III below. After review of the petition, the ADRRC will make a recommendation to the Associate Dean of Undergraduate Studies to confirm or rescind the action.

II. Graduate, Post-baccalaureate, and Credential Students

A1. University Academic Probation and Continued Probation

Graduate and post-baccalaureate teaching credential candidates will be placed on academic probation if at any time (following a Fall, Winter, Spring, or Summer term) their SJSU cumulative GPA falls below 3.0. The probation status is shown on the transcript.

Graduate students and credential candidates on probation will remain on continued probation when the following term GPA is 3.0 or better, while the SJSU cumulative GPA remains below 3.0. The continued probation status is shown on the transcript and is treated like probation in terms of academic standing.

Distinction between SJSU Cum GPA (as shown on the transcript) and GPA for the degree program (as shown on the candidacy form). All upper-division (100 level) and graduate-level (200 level) courses, including SJSU Open University courses taken as a post-baccalaureate, will be used in the calculation of SJSU cumulative GPA. Courses from other institutions and courses from the SJSU undergraduate career will not be counted in the graduate SJSU cumulative GPA. In addition, the GPA among all of the courses that appear on the candidacy form (count toward the degree) must also be a minimum of 3.0 for degree conferral. SJSU courses taken at the lower-division level (numbered below 100) will be shown on the student transcript but cannot be used to satisfy graduate degree requirements and will not be included in the graduate student GPA calculations.

The Registrar will notify students who are placed on academic probation of that fact when term grades are posted. The students will also be advised of conditions required for return to good standing, the consequences of not maintaining a term GPA of 3.0, and the necessity of conferring with their graduate advisor

Graduate and credential candidates will remain on probation or continued probation until they are removed from probation or are disqualified. They are removed from probation and returned to good standing when the SJSU cumulative GPA is raised to at least 3.0 (following a Fall, Winter, Spring, or Summer term).

A2. Completion of all Degree or Credential Requirements While on Probation

Should the SJSU cumulative GPA fall below 3.0 at the same time that the candidacy GPA is above 3.0, the student's academic standing will reflect the former only. In this unusual circumstance, the student or program must make the Associate Dean of Graduate Studies aware of this discrepancy. He or she will rescind the academic standing by contacting the Registrar, and the student record will be altered to "good standing." Enrollment in at least one letter-graded course is required of graduate students in each Fall and Spring semester that they are on academic probation.

If a graduate student does not complete the graduate degree program with the minimum 3.0 GPA in the candidacy coursework (thus in all degree requirements), his or her major department may terminate the candidacy or permit completing additional courses in an attempt to raise the GPA in the program to the 3.0 threshold. When the student's major department recommends the latter, 30% of the total units in the major may be added to the candidacy form, but this total is for the entire duration of the graduate career. The additional courses can be ones already taken or courses to substitute for elective courses on the candidacy form. Note that the original grade, even with a substitution, cannot be eliminated but instead is counted in GPA calculations along with the new grade. Any course with a grade less than a "B" may be repeated at the graduate level, but no more than 9 units in the graduate career, no matter the number of units required in the degree program, can be repeated per University Policy F08-2.

- Failure to raise the candidacy and SJSU cumulative GPA to 3.0 after completing these additional courses(s) will result in a termination of the student's candidacy and an inability to earn the graduate degree.
- Credential candidates who fail to achieve a 3.0 GPA upon completion of the credential program will be precluded by the department from attempting additional coursework and therefore not be recommended for an award of a credential by the State of California.

B. University Academic Disqualification

Graduate students on probation or continued probation will be academically disqualified when the term GPA for a Fall, Winter, Spring, or Summer term is below 3.0. The disqualified status is shown on the transcript.

C. Reinstatement following Academic Disqualification

- Graduate students disqualified from the university for the first time can petition to be reinstated, unless otherwise disallowed by an accrediting body or other governing agency. Reinstatement is a process separate from readmission. Students must file an application for readmission with CSLI Monter to register for classes following reinstatement.
- for readmission with CSU Mentor to register for classes following reinstatement.
- Application for readmission can be done during the semester in which the program of study is underway or in which the reinstatement petition is being considered.
- A graduate student may petition for reinstatement on the basis of any of the following five categories:

Raising the SJSU Cumulative GPA to 3.0 or Better. The SJSU cumulative GPA can be raised through SJSU Open University coursework as part of a Program of Study (see below), although retroactive (after the last day of classes) actions by students, such as completion of Incomplete ("I") grades or course drops, can also raise the SJSU cumulative GPA.

- 2. Extenuating Circumstances. Reinstatements in this category will be granted only for serious and compelling circumstances that were clearly beyond a student's control and are clearly documented in the petition. The criteria for approval under this category are similar to those required for a retroactive (course) drop or retroactive (semester) withdrawal. Sometimes the approval of such retroactive petitions will raise the SJSU cumulative GPA to 3.0 or better (good academic standing), thus shifting to a Category 1 approval. However, even in such cases, rescinding academic standing already posted to the record is very rarely approved.
- 3. Special Consideration. This category is reserved for students whose petitions cannot be accommodated within the other categories. Such students will have spent substantial time (five years or more) away from SJSU since their disqualification and can demonstrate that their life experiences have prepared them for a successful return to school. Often this request is accompanied by a change of major from that in which the disqualification occurred.
 - Because this category of reinstatement exists to give students a fresh start on their degree pursuit, past grades that led to the previous disqualification should not hinder a student's progress through the newly begun degree program. Circumstances could exist in which the original scholastic performance was so poor that, even with excellent progress through the new degree program, the GPA could not be returned to a 3.0 level. Therefore, the previous grades should not be counted against the student. This can be effected by means of a Disregard of All Previous Graduate Coursework Petition. The corollary to this benefit is that none of the disregarded coursework may be used in the new degree program; however, satisfaction of the graduate-level Graduation Writing Assessment Requirement (GWAR) would carry over to the new program. By the same token, no courses from any source may be transferred into the new degree program.
- 4. Petitioned Grade Change. This category is reserved for changes in grade approved under Section III (Grade Appeal) and Section IV (Change of Grade) of University Policy S09-7. If a timely grade change results in an increase in the term GPA or in the SJSU cumulative GPA to 3.0 or better, the student may qualify not only for reinstatement under this category, but also for the rescinding of the academic standing of probation or disqualification (meaning that the academic standing is removed from the transcript). The rationale for the rescinding of academic standing is that the instructor and not the student made the error that led to an incorrect posting of academic standing. Generally, grade change must be made by the Drop Deadline of the following Fall or Spring semester. Further extension of this deadline will be considered only when there is documentation of the student's attempt(s) to contact the instructor and/or the department chair, and the late submission of the change of grade form is clearly beyond the student's control, as described in University Policy S09-7.

5. Program of Study. A graduate student must confer with his or her graduate advisor to develop a schedule of classes appropriate to the student's major. The courses must consist of a minimum of 6 units per term, and all must be taken in a single term. They must be letter graded, upper division (100-level), and taken through the SJSU Open University or SJSU's Extended Studies winter or summer session. The 100-level courses may or may not be part of the graduation requirements for the student's degree program. The advisor may require more than 6 units of coursework but no more than 9 units. Graduate (200-level) courses are not permitted in the program of study, and disqualified students cannot enroll in 200-level courses. Courses taken prior to approval of the program of study via submission of the Graduate Petition for Reinstatement will not be accepted. Also precluded from the program of study are courses taken at another university, 300-level, 400-level, or 500-level courses, and lower-division courses. If the student plans to pursue a different degree program upon readmission to the university, the program of study must be applicable to the new major, be developed in conjunction with the graduate advisor of the new major, and demonstrate the student's capacity to complete the new graduate degree requirements. If a course on an approved program of study becomes unavailable, another reinstatement petition must be submitted and approved immediately after enrollment in a substitute course. Once the program of study has been completed successfully with a minimum GPA of 3.3 ("B+") and no grades lower than B, he or she will be reinstated and, after reapplication to the university, readmitted to the university and the department. Should the student fail to achieve the 3.3 minimum GPA, additional programs of study are permissible with entirely new classes and consent of the graduate advisor of the incoming major.

Reinstatement is not allowed for a second disqualification. Unless extenuating circumstances can be cited that result in rescinding the second disqualification, a Graduate Petition for Reinstatement will not be accepted from students who have been disqualified more than once.

Graduate students reinstated following university disqualification normally return on probation. Subsequently, they must achieve an SJSU term GPA of 3.0 or better each semester following readmission until their cumulative SJSU GPA is 3.0 or better. Failure to attain a minimum SJSU term GPA of 3.0 will result in a second and final disqualification.

D. Administrative Academic Probation and Disqualification

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Per Sections 41300.1 Title 5, "... [A] graduate student may also be placed on probation or may be disqualified by appropriate campus authorities for unsatisfactory scholastic progress regardless of cumulative grade point average or progress points. Such actions shall be limited to those arising from repeated withdrawal, failure to progress toward an educational objective and noncompliance with an academic requirement..."

Limitations. As with academic probation and disqualification, administrative academic probation must precede administrative academic disqualification in all but the most exceptional circumstances (see below). In most cases, a direct reassignment from good standing in the major to disqualification from the major is prohibited. In other words, at least one semester of probation in the major is required prior to disqualification from the major. The underlying philosophical premise is that students should be placed on notice

prior to disqualification. For example, a substandard grade in one course could not result in disqualification; rather, the student would be put on administrative academic probation and afforded the opportunity to repeat that class. Passage of the repeated course with the required grade would result in the return of the student to good standing. Programs can limit the number of semesters on probation in the student career to as few as one.

Transcript Notation. For graduate students, only administrative academic disqualification (not administrative academic probation) status should be noted on the transcript.

Academic Progress in the Major³. Most instances of administrative academic probation and disqualification result from probation in and disqualification from the major.⁴

Despite maintaining a SJSU cumulative GPA of 3.0 or better, a graduate student's academic performance in the major may fall below the minimum standards established in that major. In these cases, while students remain in overall good standing with the university, they are subject to probation in and disqualification from the graduate major. As with undergraduate programs, each college, school, department, and program (hereafter referred to as "program") may employ a policy of probation in, disqualification from, and reinstatement into the graduate major. The criteria must be reviewed and approved by the ADRRC.

Notification. Graduate programs must ensure that all students within the concerned majors are advised of these program-level criteria. At a minimum, criteria in addition to or differing from university regulations must be posted on departmental and/or program websites and any other program documents, such as student handbooks.

Probation in the Major and Disqualification from the Major

1. Probation in the Major

Departments and schools must notify students in writing of (new) probation in the major or disqualification from the major status no later than two weeks following the posting of university academic standing. They must also be provided with the conditions for release from administrative academic probation and the circumstances that would lead to administrative academic disqualification should probation not be cleared. There should be a mechanism to permit return to good standing from probation. Graduate

³ **Definition of Major.** For the purposes of this policy, "major" means a unique degree program. Specifically, each individual concentration is a degree program. For example, there is only one type of M.S. degree offered by the Department of Biological Sciences, that being the M.S., Biological Sciences. There are, however, multiple concentrations which may have different criteria related to probation and disqualification. Each of these concentrations is treated as its own major.

⁴ **Supporting Student Success.** These guidelines protect the integrity of the university and of the discipline, which is imperative for those students remaining in the degree program, the employers who hire our graduates, and the faculty who provide oversight of the academic program. A high level of scholarship and of ethical and operational behavior is needed at the graduate level, and individual programs are given some leeway in developing standards for their programs that meet the needs of the community they are serving as well as the field of study in which the students will be claiming expertise. As with undergraduates, probation in the graduate program alerts students that their performance is less than satisfactory. The limited duration and resource-intensive nature of graduate programs and the expectation for a consistently high level academic performance from graduate students may require additional policies regarding satisfactory academic progress.

students must be advised to meet with an advisor in the major to design a study plan to return to good standing in the major. When administrative-academic probation occurs, students will be notified of the reasons in writing by the program with copies delivered to the Associate Dean of Graduate Studies and the Registrar.

2. Disqualification from the Major

When administrative academic disqualification occurs, students will be notified of the reasons in writing by the program with copies delivered to the Associate Dean of Graduate Studies and the Registrar. Disqualification from the major will be determined after every Fall, Winter, Spring, or Summer term.

The reinstatement process includes department and college-level approval. Reinstatement into the university does not guarantee reinstatement into the previous major. Graduate students who do not obtain department or college-level approval for reinstatement into their previous majors may petition for reinstatement into new majors. Unlike undergraduates, graduate students cannot be "undeclared" or "programless," i.e., they must obtain approval from some program to be reinstated. Reinstated students cannot be denied admission on the basis of their lack of good standing. The ADRRC is charged with establishing and evaluating the guidelines for reinstatement.

3. Guidelines and Criteria for Programmatic Probation and Disqualification⁵

Qualifying or Comprehensive Exams. In programs in which qualifying or comprehensive exams must be passed, policies governing exam procedure, for example, with regard to the number of times the exams may be attempted, must be formulated and publicized by the programs.

Maximum Course Grade or GPA Requirements. Programs may not require individual course grades to be higher than "B" for graduate students. At the most, a department may require that each course required for the degree program be passed at this standard. The corollary is that the maximum GPA that can be required for any set of courses cannot be higher than 3.0 for graduate students.

Admission requirements and degree requirements are different. Admission to a graduate degree program may include supplemental criteria such as a GPA greater than the 3.0 threshold. However, once a student is admitted to a major, the degree requirements must be limited to "B or better" for graduate students (Title 5).

⁵ **Examples.** Among the standards that a program might make mandatory is the achievement of grades of "B" in every class or in particular classes with a stipulated number of repetitions permitted. Similarly, an acceptable standard would be to require a "CR" in field, student teaching, or internship courses with a stipulated number of "NC" grades allowed for repetition. In addition, graduate students are expected to make reasonable progress through their degree program. One cannot, for example, have been admitted to one program but take no courses in it while taking courses in a second program. Usually graduate students must successfully form a master's or doctoral committee. While the program should make every attempt to aid a student in forming a committee, the inability to do so would be grounds for dismissal from the program. Repeated failure to complete a project or thesis research proposal would constitute reasonable justification for disqualifying a student.

Restrictions on Course or Unit Load Per Semester. Programs may restrict a student to two attempts of any course offered by the program. The basic guideline is that the university rules for repeating courses should be followed unless the program chooses to be more lenient than the university. These sorts of criteria may be set as a minimum or maximum. For example, cohort programs may require that a minimum number of courses/units be taken each semester in order to best utilize resources or to ensure that the program is completed while student knowledge is still current. Alternatively, setting a maximum number of units may make sense for students on probation.

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- a. Approved course or semester withdrawals (W grades on the unofficial transcript) are considered to be without prejudice and should not be counted as an attempt at a course if the major program restricts the number of attempts for a course (per University Policy S09-7).
- b. For graduate students, the university will use grade averaging in computing the SJSU GPA (per University Policy F08-2).
- c. If the course in question is offered by another department, the program may consider only the first two attempts in determining probation or disqualification status. Clearly, the major department cannot restrict the number of times a student enrolls in a course offered by another department, but it is permitted, for instance, to ignore the grade from a third attempt to pass a class with a B or better.

A department may consider university probation or disqualification as a factor in determining probation or disqualification in the major.

Exceptions. Exceptions to the rule that administrative academic disqualification must be preceded by a probationary period may be made in the following cases:

- a. In clinical courses, laboratory courses, student teaching assignments, or other types of programmatic requirements, there may be such serious concerns about the safety or well-being of the student, other students, clients, patients, and so forth, that repetition of the courses is not reasonable. For such courses or programmatic experiences, departments may establish "no repeat" policies, i.e., a course may not be repeated if not passed on the first attempt. However, the "no repeat" option would not have to be in place to disqualify a student from a course. In clinical or lab settings in which safety or well-being are severely compromised, an instructor may disenroll a student from the course, which may lead to disqualification from the major. In general, the immediate move from good standing to disqualification (without a term of probation in between) should be associated with the inability to satisfy a specific course requirement on the first and only allowable attempt, not with a less specific programmatic requirement. Unless clearly falling into the category described here, courses by which immediate disqualification can be imposed must be approved in advance by the ADRRC.
- b. A program can disqualify a student without a probationary period for behavior that fails to comply with professional standards of conduct appropriate to the field

of study. This conduct could occur in or out of class. It must be highly egregious for the disqualification action to be taken. Examples include threatening behavior, repeated disruptions of classes that interfere with the educational opportunities of other students, and repeated acts of professorial disrespect, badgering, rudeness, interruptions, and verbal or written abuse. The disqualification action is still appealable so it is advisable that the program consult with Graduate Studies before proceeding.

- c. Conditional acceptance to a program is, in effect, acceptance under probation in the major. Typically, a specified set of courses or requirements must be passed prior to attaining good standing in the program. There may be time limits or unit limits established to satisfy the conditions, which, if not met, may lead to disqualification from the major degree program without an intervening term on explicit probation. Cohort programs must provide in their policies a reasonable accommodation for students who must stop out for legitimate reasons.
- d. Teaching credential students do not receive a degree from SJSU and are subject to the regulations of the state legislature and licensing agency. Credential courses that exceed the seven-year limit cannot be revalidated. As with graduate master's degree programs in the CSU, the overall GPA and candidacy GPA must be at 3.0 or above for completion. In the case of credentials, a recommendation from the university to the state credentialing agency would be withheld without the requisite GPA. Students who fail to achieve this level of scholastic success or who are deemed dispositionally unsuitable for a teaching career can be precluded by the program from repeating courses or taking other courses to raise the GPA and so are effectively permanently terminated from the university without the credential recommendation.

4. Reinstatement after Administrative Academic Disqualification

Without compelling reasons, administratively academically disqualified graduate students may not be reinstated to the major from which they were dismissed. Should a graduate student may find a new program willing to reinstate, transfer into that program will require program approval via a Graduate Change-of-Major application process without reapplication to the university, if permitted by the new department or school. However, should more than one semester pass without reinstatement, reapplication would be necessary. The student may not take courses in matriculated status before approval is secured. Disqualified students may not take graduate-level courses through Open University.

III. Appeal of Administrative Academic Probation or Disqualification

Upon receiving notice of administrative academic probation or disqualification, students should first consult with their advisors, then, if necessary, file a written appeal first with a program-level faculty committee, then with the appropriate ADRRC appeals officer, the Associate Dean of Undergraduate Studies or the Associate Dean of Graduate Studies. In either case, the appeal should be based on (a) advising or administrative errors, (b) actions by the department or school that were contrary to university policy, or (c) extenuating circumstances.

- A critical first step in the appeal process is consultation by a student with an advisor
- 576 representing the major in which reinstatement is sought. A report of the consultation and the
- advisor's recommendation should be forwarded to the ADRRC.
- In cases of extenuation, a student must present evidence of extenuating circumstances
- 579 beyond the his or her control that disrupted previously satisfactory academic performance, and
- documentation that such conditions will no longer affect academic performance.
- Establishing and evaluating the procedure for the appeal process is the charge of the ADRRC.
- The following operating rules have been put into effect for appeals of probation and
- 583 disqualification administrative academic probation and disqualification.

- A. Student Appeal Filing. Students must submit a written appeal to the appropriate appeals officer of the ADRRC, the Associate Dean of Undergraduate Studies or of Graduate Studies, within one calendar month after the start of the succeeding Fall or Spring semester. The student name, ID, contact information (email and phone), unofficial transcript, and a personal statement must be included.
 - **B. Validity of Appeal**. The appeals officer is afforded the authority to determine whether adequate grounds exist for a formal hearing. He or she will conduct a review to determine whether the student has been treated according to the approved departmental/school policy (that is, whether policy has been faithfully executed by the department or school), whether the student was adequately and reasonably informed of the policy, whether an adequate and persuasive written record of actionable student conduct was constructed, and whether the student's conduct and/or course grade makes him or her subject to the consequences of the policy. If the case cannot be settled by consultation with department/school personnel and if the complaint is based on violation of an approved departmental policy that the ADRRC deems to be confusing, unclear, or unfair, then the ADRRC will form a subcommittee and schedule a hearing, normally within 45 working days of receiving the student appeal.
 - **C. Subcommittee Structure**. The subcommittee will be chaired by the Associate Dean of either Undergraduate Studies or Graduate Studies, based on the student career, and he or she will also be a voting member. The subcommittee will further consist of one college Associate Dean as a second voting member, chosen on a rotating basis. The Associate Dean of the college in which the student's program resides will also serve but as a nonvoting member. The third voting member, again on a rotating basis, will be an ADRRC member who is not an Associate Dean.
 - **D. Hearing Rules**. Documentation can be submitted by either party but must be disclosed to the other party. Testifying individuals may include the student complainant, the department chair/school director or a designee, and other individuals requested by either party if deemed relevant by the subcommittee chair. Nontestifying individuals present for emotional support or legal representation may not speak unless directly addressed.
 - **E. Decisions**. Unless additional testimony or significant investigation is needed following an appeal hearing, the ADRRC subcommittee will notify the student of its decision in writing within 10 working days. Of the three voting members of the subcommittee, a majority is needed for a decision.

Students have the right to consult with the University Ombudsperson at any point during this

618 process.

622

619 Approved: April 18, 2016

620 Vote: 14-0-1

Present: Bruck (nonvoting), Brooks, Sen, Sofish, Campsey, Branz (nonvoting),

Walters, Kaufman, Sullivan-Green, Abdukheir, Medina, Medrano, Khan,

Wilson, Simpson, Nash, Amante.

624 Absent: Gay, Rees

Financial Impact: None Workload Impact: None

627 Financial Impact: Not significant

SAN JOSE STATE UNIVERSITY **Academic Senate Professional Standards Committee** May 9, 2016 **Final Reading**

AS 1619

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That this become effective for the administration of Fall 2016 SOTEs and Resolved: SOLATEs. Rationale: F12-6, Evaluation in Effectiveness in Teaching for all Faculty, states:

Resolved:

SERB shall prepare the specific questions and survey instrument to be used to measure student opinions of teaching effectiveness. It shall decide the scale, format, and layout of the instrument, and determine the information that is provided in the reports generated by the surveys. The instrument shall be approved by the Senate

POLICY RECOMMENDATION

Adopting New SOTE and SOLATE Instruments

That the attached documents following be adopted as the text for revised Student Opinion of Teaching Effectiveness (SOTE) and Student Opinion of Laboratory Teaching Effectiveness (SOLATE) questionnaires; be it further

upon recommendation of SERB and the Professional Standards

Committee, and may only be amended by SERB.

SERB has worked diligently over the course of two years to amend the existing SOTE and SOLATE survey instrument. The last time the instruments were changed was in 2004.

A draft was prepared too late last year (AY 2014-15) to be reviewed by the Senate, and Professional Standards reviewed a draft on August 31 provided by SERB. Professional Standards provided advice which resulted in some additional changes over the course of the year and the receipt of this draft in April.

SERB is a board specifically appointed for expertise on survey research and contains the AVP for IEA as an advisor. Professional Standards and the Senate may accept or reject the survey instruments provided by SERB, but may not amend the text of the survey instrument they have provided.

One major change is the addition of the free-response section to the SOLATE instrument. Giving students the opportunity to go beyond the numerical ratings and write a free response is required by our policy but has inexplicably only been part of the SOTE instrument and not the SOLATE instrument. This will bring our laboratory evaluations into conformity with policy and allow students in lab courses the same opportunity to respond as students in other courses.

SERB added an informational question about how many hours students devote to courserelated activities. The Chair of SERB indicated that this was intended " to facilitate evaluation of course workload relative to Carnegie units (Question 18 on the SOTE;

- Question 14 on the SOLATE)" and that it "was added after discussions with department
- chairs and curriculum committees tasked with the duty of evaluating course
- workload." Members of the Professional Standards Committee are of mixed opinions
- about this rationale for a question on hours of student work.

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Questions 14 and up on the SOTE and 10 and higher on the SOLATE are informational items and are not "normed" and compared between departments.

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- 61 Approved: April 18, 2016
- 62 Vote: 8-0-0

Present: Peter, Green, White, Lee, Virick, Kauppila, Sandoval-Rios,

Hamedi-Hagh

65 Absent: Riley

66 Financial Impact: No changes over the previous policy.

67 Workload Impact: Institutional Effectiveness and Analytics (IEA) will need to update the

online questionnaires.

70 This instrument is designed to be a professional evaluation of your instructor's teaching 71 performance. It is NOT designed to measure your reaction to the subject, the facilities 72 (such as the physical conditions of the classroom), or your instructor's physical 73 appearance. Your individual ratings will be anonymous and a summary of items 1-20 will 74 be available to your instructor after grades are turned in. This summary may enhance 75 your instructor's teaching. It will also be used in the evaluation of your instructor for 76 personnel matters such as retention, tenure and promotion. If the question does not 77 apply to your course, please select "not applicable/no opportunity to observe". 78 79 The instructor: 1. Demonstrated relevance of the course content: 80 5. Strongly Agree 81 4. Agree 82 3. Neutral 83 2. Disagree 84 1. Strongly Disagree 85 Not applicable/no opportunity to observe 86 87 2. Used assignments that enhanced learning: 88 5. Strongly Agree 89 4. Agree 90 3. Neutral 91 2. Disagree 92 1. Strongly Disagree 93 Not applicable/no opportunity to observe 94 95 3. Summarized/emphasized important points: 96 5. Strongly Agree 97 4. Agree 98 3. Neutral 99 2. Disagree 100 1. Strongly Disagree 101 102 Not applicable/no opportunity to observe 103 4. Was responsive to guestions and comments from students: 104 105 5. Strongly Agree 4. Agree 106 3. Neutral 107 2. Disagree 108 1. Strongly Disagree 109 Not applicable/no opportunity to observe 110 111 5. Established an atmosphere that facilitated learning: 112 5. Strongly Agree 113 4. Agree 114 3. Neutral 115 2. Disagree 116 1. Strongly Disagree 117

Student Opinion of Teaching Effectiveness (SOTE) Revision (SERB, final, March 2016)

118	Not applicable/no opportunity to observe
119 120	6. Was approachable for assistance:
120	5. Strongly Agree
122	4. Agree
123	3. Neutral
124	2. Disagree
125	1. Strongly Disagree
126	Not applicable/no opportunity to observe
127	
128	7. Was respectful of the diversity of students in this class:
129	5. Strongly Agree
130	4. Agree
131	3. Neutral
132	2. Disagree
133	 Strongly Disagree Not applicable/no opportunity to observe
134 135	Not applicable/110 opportunity to observe
136	8. Showed strong interest in teaching this class:
137	5. Strongly Agree
138	4. Agree
139	3. Neutral
140	2. Disagree
141	Strongly Disagree
142	Not applicable/no opportunity to observe
143	
144	9. Used teaching methods that helped students learn important concepts:
145	5. Strongly Agree
146	4. Agree 3. Neutral
147	
148 149	Disagree Strongly Disagree
150	Not applicable/no opportunity to observe
151	The application opportunity to excerve
152	10. Used grading criteria that were clear:
153	5. Strongly Agree
154	4. Agree
155	3. Neutral
156	2. Disagree
157	1. Strongly Disagree
158	Not applicable/no opportunity to observe
159	11 Helped students analyze compley/abstract ideas:
160 161	11. Helped students analyze complex/abstract ideas:5. Strongly Agree
162	4. Agree
163	3. Neutral
164	2. Disagree
165	1. Strongly Disagree
166	Not applicable/no opportunity to observe
167	

168	12. Provided meaningful feedback about student work:
169	5. Strongly Agree
170	4. Agree
171	3. Neutral
172	2. Disagree
173	Strongly Disagree
174	Not applicable/no opportunity to observe
175	
176	13. Overall, this instructor's teaching was effective:
177	5. Strongly Agree
178	4. Agree
179	3. Neutral
180	2. Disagree
181	Strongly Disagree
182	Not applicable/no opportunity to observe
183	
184	Please answer the following informational items:
185	
186	14. How would you describe your efforts in this course?
187	Extraordinary
188	High
189	Average
190	Low
191	Minimal
192	
193	
194	15. How often did you attend class?
195	Almost always
196	Often
197	Occasionally
198	Seldom
199	Almost never
200	
201	16. What is your current estimate of your expected overall grade in this course?
202	A
203	B
204	C
205	D or F
206	Other (Credit/No Credit, Incomplete, etc.)
207	
208	17. You are a:
209	Freshman
210	Sophomore
211	Junior
212	Senior
213	Graduate Student
214	Credential Only
215	Other (e.g. Open University)
216	
217	18. During a typical week in this course, how many hours did you spend outside of class

on course-related activities (such as reading, completing assignments, studying, service 218 learning, field work, group work, etc.)? 219 220 (NOTE: This will be programmed to be answered as a number field, and the course units 221 222 will be added to the report, allowing users to easily divide the answer by the actual course units to generate Carnegie Units. 223 224 19. Did any other student attempt to influence your answers on this survey? 225 Yes 226 No 227 228 20. Did your instructor attempt to influence your answers on this survey? 229 230 Yes No 231 232 Free-Response Questions: 233 234 What do you think are the strengths of this instructor's teaching? 235 236 What suggestions, if any, do you have to further improve the instructor's teaching? 237 If you like, please use this space to elaborate on your responses to the multiple choice 238 questions above. 239 240

Student Opinion of Laboratory and Activity Teaching Effectiveness (SOLATE) Revision 241 (SERB, final, March 2016) 242 243 This instrument is designed to be a professional evaluation of your instructor's teaching 244 performance. It is NOT designed to measure your reaction to the subject, the facilities 245 (such as the physical conditions of the classroom), or your instructor's physical 246 appearance. Your individual ratings will be anonymous and a summary of items 1-15 will 247 be available to your instructor after grades are turned in. This summary may enhance 248 your instructor's teaching. It will also be used in the evaluation of your instructor for 249 personnel matters such as retention, tenure and promotion. If the question does not 250 apply to your course, please select "not applicable/no opportunity to observe". 251 The lab or activity instructor: 252 253 1: made course requirements clear. 254 5. Strongly Agree 255 4. Agree 256 3. Neutral 257 2. Disagree 258 1. Strongly Disagree 259 Not applicable/no opportunity to observe 260 261 2: used grading criteria that were clear. 262 5. Strongly Agree 263 4. Agree 264 3. Neutral 265 2. Disagree 266 1. Strongly Disagree 267 Not applicable/no opportunity to observe 268 269 3: was well prepared for class or activity. 270 5. Strongly Agree 271 4. Agree 272 3. Neutral 273 274 2. Disagree 1. Strongly Disagree 275 Not applicable/no opportunity to observe 276 277 4: showed concern for student success in the course, and was accessible and responsive 278 to students 279 5. Strongly Agree 280 281 4. Agree 3. Neutral 282 2. Disagree 283 1. Strongly Disagree 284

5: made the class environment safe for students, including

Not applicable/no opportunity to observe

5: made the class environment safe for students, including demonstration of the proper use of any equipment and techniques.

Strongly Agree

285286

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288

200	4 Agroo
290	4. Agree 3. Neutral
291	
292	2. Disagree
293	1. Strongly Disagree
294	Not applicable/no opportunity to observe
295	Gundand majintagrata the lecture concents with the classificativity
296	6: helped me integrate the lecture concepts with the class/activity.5. Strongly Agree
297 298	4. Agree
299	3. Neutral
300	2. Disagree
	Strongly Disagree
301 302	Not applicable/no opportunity to observe
303	Not applicable/110 opportunity to observe
304	7: increased my understanding of the subject.
305	5. Strongly Agree
306	4. Agree
307	3. Neutral
308	2. Disagree
309	1. Strongly Disagree
310	Not applicable/no opportunity to observe
311	Not applicable the opportunity to observe
312	8: stimulated my interest in the subject.
313	5. Strongly Agree
314	4. Agree
315	3. Neutral
316	2. Disagree
317	1. Strongly Disagree
318	Not applicable/no opportunity to observe
319	2
320	9: Overall, this instructor's teaching was effective.
321	5. Strongly Agree
322	4. Agree
323	3. Neutral
324	2. Disagree
325	1. Strongly Disagree
326	Not applicable/no opportunity to observe
327	
328	Please answer the following informational items:
329	
330	10. How often did you attend class?
331	Almost always
332	Often
333	Occasionally
334	Seldom
335	Almost never
336	
337	11. What is your current estimate of your expected overall grade in this course?
338	A
339	В

340	С
341	D or F
342	Other (Credit/No Credit, Incomplete, etc.)
343	
344	12. You are a:
345	Freshman
346	Sophomore
347	Junior
348	Senior
349	Graduate Student
350	Credential Only
351	Other (e.g. Open University)
352	
353	13: During a typical week in this course, how many hours did you spend outside of class
354	on course-related activities (such as reading, completing assignments, studying, service
355	learning, field work, group work, etc.)?
356	
357	(NOTE: This will be programmed to be answered as a number field, and the course units
358	will be added to the report, allowing users to easily divide the answer by the actual course
359	units to generate Carnegie Units.
360	
361	
362	
363	14. Did any other student attempt to influence your answers on this survey?
364	Yes
365	No
366	
367	15. Did your instructor attempt to influence your answers on this survey?
368	Yes
369	No
370	
371	Free-Response Questions:
372	
373	What do you think are the strengths of this instructor's teaching?
374	
375	What suggestions, if any, do you have to further improve the instructor's teaching?
376	If you like, please use this space to elaborate on your responses to the multiple choice
377	questions above.
378	